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10/006,466	11/08/2001	Erik Ekkel	US 018178 (D8333-09)	4995	
7590 02/05/2007 Larry Liberchuck Philips Electronics N.A. Corporation P.O. Box 3001 Briarcliff Manor, NY 10510-8001			EXAM	EXAMINER	
			ABEL JALIL, NEVEEN		
			ART UNIT	PAPER NUMBER	
			2165		
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Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

		Application No.	Applicant(s)			
Office Action Summary		10/006,466	EKKEL, ERIK			
		Examiner	Art Unit			
		Neveen Abel-Jalil	2165			
The MA	AILING DATE of this communication app					
Period for Reply						
WHICHEVER - Extensions of time after SIX (6) MON - If NO period for re - Failure to reply with Any reply received	ED STATUTORY PERIOD FOR REPL' IS LONGER, FROM THE MAILING DOWN or a wailable under the provisions of 37 CFR 1.1 LITHS from the mailing date of this communication. Exply is specified above, the maximum statutory period within the set or extended period for reply will, by statute d by the Office later than three months after the mailing m adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).			
Status	·					
1)⊠ Respons	sive to communication(s) filed on <u>08 N</u>	lovember 2006.				
2a) ☐ This acti	This action is FINAL . 2b)⊠ This action is non-final.					
3) Since th	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Disposition of Cla	aims					
4a) Of th 5)	1-5,7,8,21,23,25 and 26 is/are pendir e above claim(s) is/are withdraw is/are allowed. 1-5,7,8,21,23,25 and 26 is/are rejected is/are objected to. are subject to restriction and/o	wn from consideration.	· .			
Application Pape	ers	·				
10)⊡ The draw Applicant	cification is objected to by the Examine ving(s) filed on is/are: a) acc t may not request that any objection to the	epted or b) objected to by the drawing(s) be held in abeyance. See	e 37 CFR 1.85(a).			
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35	U.S.C. § 119					
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 						
Attachment(s) 1) Notice of Refere	ences Cited (PTO-892)	4) 🔲 Interview Summary				
2) Notice of Drafts	person's Patent Drawing Review (PTO-948) closure Statement(s) (PTO/SB/08)	Paper No(s)/Mail D 5) Notice of Informal F 6) Other:	ate			

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DETAILED ACTION

Continued Examination Under 37 CFR 1.114

- 1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on November 8, 2006 has been entered.
- 2. Claims 6, 9-20, 22, and 24 have been cancelled. Therefore, claims 1-5, 7, 8, 21, 23, 25 and 26 are now pending.
- 3. Applicant's Amendment has overcome previous claim objections and rejections under 101, and 112, second paragraph.

Claim Objections

4. Claims 1, 4, and 21 are objected to because of the following informalities:

Claim 1, line 24, recite "access to use the persistent data" which constitutes intended use not actually having to take place. The claim should be amended to replace the recitation with "access to the persistent data". Similarly, claims 4, and 21 carry the same deficiency.

Claim Rejections - 35 USC § 101

5. 35 U.S.C. 101 reads as follows:

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Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

6. Claims 1, 4, and 21 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. That claims do not recite a practical application by producing a physical transformation or producing a useful, concrete, and tangible result. To perform a physical transformation, the claimed invention must transform an article of physical object into a different state or thing. Transformation of data is not a physical transformation. A useful, concrete, and tangible result must be either specifically recited in the claim or flow inherently therefrom. To be useful the claimed invention must establish a specific, substantial, and credible utility. To be concrete the claimed invention must be able to produce reproducible results. To be tangible the claimed invention must produce a practical application or real world result.. In this case claims 1, and 21, fail to perform a physical transformation because the claims are directed to operating on data. The claims also fail to produce a tangible result because "identifying" is not actually stored or outputted, there's no subsequent use to the results of the "identifying". Similarly, claim 4, has not tangible result to the "securing". What happens once the data the secured? Is there and access refused taking place? Or is access granted? An output is needed.

Claim Rejections - 35 USC § 102

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

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8. Claims 1, 3-7, and 21-26 are rejected under 35 U.S.C. 102(a) as being anticipated by Dukyun Nam, et al. <u>Distributed Document Sharing System</u>. June 2001 (hereon in <u>Nam at al.</u>)-Cited in the previous office action.

As to claim 1, Nam at al. discloses a system for peer-to-peer access to a collection of data, comprising:

a musicbox implemented via at least one selected from the group consisting of a specialized musicbox device (See page 1), specialized musicbox devices, a computer and computers, said musicbox comprising:

a persistent data store, the persistent data store containing a plurality of individually selectable data files of a predetermined data format, some of the data files being pre-loaded onto the persistent data store (See page 11, paragraph 2);

a data communications interface operatively connected to a data communications network to effect a peer-to-peer network, the data communications network comprising a plurality of musicboxes authorized to participate in the peer-to-peer network (See page 1); and

a controller operatively connected to the persistent data store and the data communications interface (See page 3, paragraph 5); and

software stored in the musicbox and executable via the controller in the musicbox (See page 1, also see page 9, paragraph 2), wherein the software is configured to:

identify, without utilizing a central server, the plurality of authorized musicboxes executing instances of the software (See page 13, paragraph 1, also see page 9, paragraph 1, once

registration takes place, a peer is allowed to communicate directly with another w/o server access);

peer-to-peer share of the data files with the identified other musicboxes, the sharing being restricted to the identified plurality of authorized musicboxes having authorization to participate in the peer-to-peer sharing of data files (See page 9, paragraph 1);

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secure the data files from unauthorized access to prevent the data files from being retrieved in a perceptible format thereof by unauthorized musicboxes (See page 11, paragraph 2); reproduce the data files into a predetermined perceptible format (See page 2, paragraph

1); and

provide authorized musicboxes access to use the data files (See page 10, paragraph 1), wherein to identify comprises:

detecting whether another system is executing an instance of software that allows and restricts peer-to-peer sharing of the data files (See page 23, when message response is user log-out, or client is not alive, once that's detected, the user authentication can be acquired as taught on page 12); and

identifying said another system as an unauthorized musicbox not being a peer in response to a detection that said another system is not executing said instance (See page 23, when message response is user log-out, or client is not alive, interpreted to be musicbox without authorized access for communication, page 9, paragraph 2, teaches that the client software must be download in order to be considered a participating peer in Nam et al.'s network).

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As to claim 3, <u>Nam at al.</u> discloses wherein the data files comprise at least **one of** audiovisual works, music recordings, performance recordings, digitized film recordings, digitized video recordings, graphic work images, text (See page 3, paragraph 4), and software.

As to claim 4, Nam at al. discloses a system for peer-to-peer access to a collection of data, comprising:

a musicbox implemented via at least one selected from the group consisting of a specialized musicbox device (See page 1), specialized musicbox devices, a computer and computers, said musicbox comprising:

a persistent data store, the persistent data store containing a plurality of individually selectable data files of a predetermined data format, some of the data files being pre-loaded onto the persistent data store (See page 11, paragraph 2);

a data communications interface operatively connected to a data communications network to effect a peer-to-peer network (See page 1); and

a controller operatively connected to the persistent data store and the data communications interface (See page 3, paragraph 5); and

software stored in the musicbox and executable via the controller in the musicbox (See page 1, also see page 9, paragraph 2), the software is configured to:

identify, without utilizing a central server, other musicboxes executing instances of the software (See page 13, paragraph 1, also see page 9, paragraph 1, once registration takes place, a peer is allowed to communicate directly with another w/o server access);

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peer-to-peer share the data files with the identified other musicboxes, the sharing being restricted to the identified other musicboxes having authorization to participate in the peer-to-peer sharing of data files (See page 9, paragraph 1);

secure the data files from unauthorized access (See page 11, paragraph 2); reproduce the data files into a predetermined perceptible format (See page 2, paragraph 1); and

provide authorized musicboxes access to use the data files, wherein said software is configured to secure data files from unauthorized access by selecting from among at least:

securing the data files to prevent the data files from being retrieved in the predetermined perceptible format by unauthorized musicboxes (See page 10, paragraph 1); and

securing the data files to be retrievable in the predetermined perceptible format by authorized musicboxes (See page 11, paragraph 2, wherein access rights are applied the document therefore securing them from being perceptible), wherein to identify comprises:

detecting whether another system is executing an instance of software that allows and restricts peer-to-peer sharing of the data files (See page 23, when message response is user log-out, or client is not alive, once that's detected, the user authentication can be acquired as taught on page 12); and

identifying said another system as an unauthorized musicbox not being a peer in response to a detection that said another system is not executing said instance (See page 23, when message response is user log-out, or client is not alive, interpreted to be musicbox without authorized access for communication, page 9, paragraph 2, teaches that the client software must be download in order to be considered a participating peer in Nam et al.'s network).

As to claim 5, Nam at al. discloses further comprising an audio-visual interface to export audio and/or visual data usable in further reproduction of content within the data files (See page 9, Figure A.1. Prototype Registration, shows different documents that are sharable which are deemed to include audio/video).

As to claim 7, Nam at al. discloses further comprising a central server to provide registration services, the central server being a peer participant in the peer-to-peer network (See page 9, paragraph 1).

As to claim 21, <u>Nam at al.</u> discloses a system for peer-to-peer access to a collection of data, comprising:

means for storing persistent data, the persistent data comprising a plurality of data files of a predetermined data format, the data files further being secured from unauthorized access (See page 11, paragraph 2);

means for data communications, coupled to the means for storing persistent data (See page 10, paragraph 2); and

means for accessing the persistent data in communication with the means for storing persistent data and the means for data communications (See page 9, paragraph 3), wherein the means for storing persistent data, the means for data communications and the means for accessing the persistent data are together implemented via a musicbox, wherein the musicbox comprises at least one selected from the group consisting of a specialized musicbox device (See

page 1), specialized musicbox devices, a computer and computers, wherein the means for accessing the persistent data is executable via a controller in the musicbox and configured to:

identify, without utilizing a central server, other systems executing the means for accessing the persistent data (See page 13, paragraph 1, also see page 9, paragraph 1, once registration takes place, a peer is allowed to communicate directly with another w/o server access);

peer-to-peer share the persistent data with the identified other systems, the sharing being restricted to the identified other systems to prevent the persistent data from being retrieved in the predetermined data format by an unidentified system (See page 11, paragraph 2); and

provide identified systems access to use the persistent data (See page 10, paragraph 1), wherein to identify comprises:

detecting whether the other system is executing an instance of software that allows and restricts peer-to-peer sharing of the plurality of data files (See page 23, when message response is user log-out, or client is not alive, once that's detected, the user authentication can be acquired as taught on page 12); and

identifying the other system as the unidentified system not being a peer in response to a detection that said other unidentified system is not executing said instance (See page 23, when message response is user log-out, or client is not alive, interpreted to be musicbox without authorized access for communication, page 9, paragraph 2, teaches that the client software must be download in order to be considered a participating peer in Nam et al.'s network).

As to claim 23, Nam at al. discloses wherein said system does not include a central server (See page 9, paragraph 1).

As to claim 25, Nam at al. discloses wherein said system does not include a central server (See page 9, paragraph 1).

As to claim 26, Nam at al. discloses wherein said software is further operable for:
securing a predetermined collection of data files from unauthorized copying (See page 5, paragraph 1, wherein "copying" reads on "downloading"); and

securing a predetermined collection of data files to be retrievable by authorized access. (See page 9, paragraph 3).

Claim Rejections - 35 USC § 103

- 9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 10. Claims 2, and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Dukyun Nam, et al. <u>Distributed Document Sharing System</u>. June 2001 (hereon in <u>Nam at al.</u>)-Cited in the previous office action, in view of <u>Pearson</u> (U.S. Pub. No. 2003/0028610 A1).

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As to claim 2, <u>Nam at al.</u> does not teach wherein the data files comprise works subject to copyright and workings not subject to copyright.

<u>Pearson</u> teaches wherein the data files comprise works subject to copyright and workings not subject to copyright (See <u>Pearson</u> page 6, paragraph 0045).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the invention of <u>Nam at al.</u> with the teachings of <u>Pearson</u> to include wherein the data files comprise works subject to copyright and workings not subject to copyright because it enforce copyrights and access restrictions (See <u>Pearson</u> page 1, paragraph 0005).

As to claim 8, Nam at al. does not teach comprising an authorization device, comprising at least one of an electronic smart card, a mechanical smart card, and an optical key smart card.

<u>Pearson</u> teaches comprising an authorization device, comprising at least one of an electronic smart card, a mechanical smart card, and an optical key smart card (See <u>Pearson</u> page 6, paragraph 0042).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the invention of <u>Nam at al.</u> with the teachings of <u>Pearson</u> to include comprising an authorization device, comprising at least one of an electronic smart card, a mechanical smart card, and an optical key smart card because it enforce copyrights and access restrictions (See <u>Pearson</u> page 1, paragraph 0005).

Alternatively, the claims are rejected under:

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Claim Rejections - 35 USC § 102

11. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 12. Claims 1-5, 7, 8, 21, 23, 25 and 26 are rejected under 35 U.S.C. 102(e) as being anticipated by <u>Traversat et al.</u> (U.S. Patent No. 7,065,579 B2).

As to claim 1, <u>Traversat et al.</u> discloses a system for peer-to-peer access to a collection of data, comprising:

a musicbox implemented via at least one selected from the group consisting of a specialized musicbox device, specialized musicbox devices (See column 15, lines 16-30), a computer and computers, said musicbox comprising:

a persistent data store, the persistent data store containing a plurality of individually selectable data files of a predetermined data format, some of the data files being pre-loaded onto the persistent data store (See column 16, lines 1-3);

a data communications interface coupled to a data communications network to a communication network to provide a peer-to-peer network, the data communications network comprising a plurality of musicboxes authorized to participate in the peer-to-peer network (See column 15, lines 16-30, and see column 16, lines 3-16); and

a controller coupled to the persistent data store and the data communications interface (See column 16, lines 17-34); and

software stored in the musicbox and executable via the controller in the musicbox (See column 25, lines 1-14), wherein the software is configured to:

identify, without utilizing a central server; the plurality of authorized musicboxes executing instances of the software (See column 25, lines 15-28);

peer-to-peer share the data files with the identified authorized musicboxes, the sharing being restricted to the identified plurality of authorized musicboxes having authorization to participate in the peer-to-peer sharing of data files (See column 26, lines 10-15);

secure the data files from unauthorized access to prevent the data files from being retrieved in a perceptible format thereof by unauthorized musicboxes (See column 16, lines 55-62);

reproduce the data files into a predetermined perceptible format (See column 66, lines 4-9); and

provide authorized musicboxes access to use the data files (See column 15, lines 16-30), wherein to identify comprises:

detecting whether another system is executing an instance of software that allows and restricts peer-to-peer sharing of the data files (See column 16, lines 34-51, and see column 25, lines 24-28); and

identifying said another system as an unauthorized musicbox not being a peer in response to a detection that said another system is not executing said instance (See column 66, lines 17-30, if software is not installed, the musicbox is not a peer).

As to claim 2, <u>Traversat et al.</u> discloses wherein the data files comprise works subject to copyright and works not subject to copyright (See column 17, lines 40-65).

As to claim 3, <u>Traversat et al.</u> discloses wherein the data files comprise at least one of audiovisual works, music recordings, performance recordings, digitized film recordings, digitized video recordings, graphic work images, text, and software (See column 12, lines 36-46).

As to claim 4, <u>Traversat et al.</u> discloses a system for peer-to-peer access to a collection of data, comprising:

a musicbox implemented via at least one selected from the group consisting of a specialized musicbox device, specialized musicbox devices (See column 15, lines 16-30), a computer and computers, said musicbox comprising:

a persistent data store, the persistent data store containing a plurality of individually selectable data files of a predetermined data format, some of the data files being pre-loaded onto the persistent data store (See column 16, lines 1-3);

a data communications interface coupled to a data communications network to provide a peer-to-peer network (See column 15, lines 16-30, and see column 16, lines 3-16); and

a controller coupled to the persistent data store and the data communications interface (See column 16, lines 17-34); and

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software stored in the musicbox and executing via the controller in the musicbox (See column 25, lines 1-14), wherein the software is configured to:

identify other musicboxes executing instances of the software (See column 25, lines 15-28);

peer-to-peer share -the data files with the identified other musicboxes, the sharing being restricted to the identified other musicboxes having authorization to participate in the peer-to-peer sharing of data files (See column 26, lines 10-15);

secure the data files from unauthorized access (See column 13, lines 43-54, also see column 16, lines 55-62);

reproduce the data files into a predetermined perceptible format (See column 66, lines 4-9); and

provide authorized musicboxes access to use the data files (See column 15, lines 16-30), wherein said software is configured to secure data files from unauthorized access by selecting from among at least:

securing the data files to prevent the data files from being retrieved in the predetermined perceptible format by unauthorized musicboxes (See column 17, lines 60-63, also see column 18, lines 31-40, also see column 22, lines 24-30); and

securing the data files to be retrievable in the predetermined perceptible format by authorized musicboxes (See column 13, lines 43-54), wherein to identify comprises:

detecting whether another system is executing an instance of software that allows and restricts peer-to-peer sharing of the data files (See column 16, lines 34-51, and see column 25, lines 24-28); and

identifying said another system as an unauthorized musicbox not being a peer in response to a detection that said another system is not executing said instance (See column 66, lines 17-30, if software is not installed, the musicbox is not a peer).

As to claim 5, <u>Traversat et al.</u> discloses comprising an audio-visual interface, the audio-visual interface configured to export at least one selected from the group consisting of audio data and visual data usable in further reproduction of content within the data files (See column 13, lines 36-57, wherein "audio" files are taught).

As to claim 7, <u>Traversat et al.</u> discloses comprising a central server to provide registration services, the central server being a peer participant in the peer-to-peer network (See Figure 24, firewall, and see column 16, lines 45-53).

As to claim 8, <u>Traversat et al.</u> discloses comprising an authorization device, wherein the authorization device comprises at least one of an electronic smart card, a mechanical smart card, and an optical key smart card (See column 61, lines 25-35).

As to claim 21, <u>Traversat et al.</u> discloses a system for peer-to-peer access to a collection of data, comprising:

means for storing persistent data, the persistent data comprising a plurality of data files of a predetermined data format, the data files further being secured from unauthorized access (See corresponding rejection above for claim 1);

means for data communications, coupled to the means for storing persistent data (See column 15, lines 16-30, and see column 16, lines 3-16); and

means for accessing the persistent data in communication with the means for storing persistent data and the means for data communications, wherein the means for storing persistent data, the means for data communications and the means for accessing the persistent data are together implemented via a musicbox, wherein the musicbox comprises at least one selected from the group consisting of a specialized musicbox device, specialized musicbox devices, a computer and computers (See corresponding rejection above for claim 1), wherein the means for accessing the persistent data is executable via a controller in the musicbox and configured to:

identify, without utilizing a central server, other systems executing the means for accessing the persistent data (See corresponding rejection above for claim 1);

peer-to-peer share the persistent data with the identified other systems, the sharing being restricted to the identified other systems to prevent the persistent data from being retrieved in the predetermined data format by an unidentified system (See corresponding rejection above for claim 1); and

provide identified systems access to use the persistent data (See corresponding rejection above for claim 1), wherein to identify comprises:

detecting whether the other system is executing an instance of software that allows and restricts peer-to-peer sharing of the plurality of data files (See corresponding rejection above for claim 1); and

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identifying the other system as the unidentified system not being a peer in response to a detection that said other unidentified system is not executing said instance (See corresponding rejection above for claim 1).

As to claims 23, and 25, <u>Traversat et al.</u> discloses wherein said system does not include a central server (See column 13, lines 43-49).

As to claim 26, <u>Traversat et al.</u> discloses wherein said software is further configured to: secure a predetermined collection of data files to prevent unauthorized copying by unauthorized musicboxes (See column 17, lines 60-63, also see column 18, lines 31-40, also see column 22, lines 24-30), and

secure a predetermined collection of data files to be retrievable by authorized musicboxes (See column 13, lines 43-54).

Response to Arguments

13. Applicant's arguments with respect to claims 1-5, 7, 8, 21, 23, 25 and 26 have been considered but are most in view of the new ground(s) of rejection.

Conclusion

14. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

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Pouyoul et al. (U.S. Pub. No. 2002/0156893 A1) teaches dynamic transparent peer-topeer service.

15. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Neveen Abel-Jalil whose telephone number is 571-272-4074. The examiner can normally be reached on 8:30AM-5:30PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jeffrey A. Gaffin can be reached on 571-272-4146. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Neveen Abel-Jalil January 31, 2007